

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Gregory A. Spies et al.  
Application No./Patent No.: 10/559,596 Int'l Filing Date: June 9, 2004  
Entitled: DNA VECTORS

Corixa Corporation  
(Name of Assignee)

a

corporation  
(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP  
302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

Peter John GIDDINGS

Typed or printed name

**01 OCT 2007**

Date

Attorney & Authorised Official

Title

USPTO

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TO:JEFFREY HUNDLEY, PH.D., PATENT AGENT COMPANY:701 FIFTH AVENUE

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 16, 2007

PTAS

JEFFREY HUNDLEY, PH.D., PATENT AGENT  
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RECORDATION DATE: 08/16/2007

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DOCKET NUMBER: 210121.579USPC

## ASSIGNOR:

SPIES, GREGORY A.

DOC DATE: 05/07/2007

## ASSIGNOR:

MISHER, LYNDIA

DOC DATE: 08/04/2007

## ASSIGNEE:

CORIXA CORPORATION  
553 OLD CORVALLIS ROAD  
HAMILTON, MONTANA 59840-3131

SERIAL NUMBER: 10559596

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: DNA VECTORS

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ASSIGNMENT SERVICES BRANCH  
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## ASSIGNMENT

WHEREAS, we, **Gregory A. Spies**, 19018 18th Avenue Northeast, Shoreline, Washington 98155, and **Lynda Misher**, 5043 16th Avenue Northeast, Seattle, Washington 98105 (hereinafter referred to as ASSIGNORS), are the joint inventors of an invention entitled "DNA VECTORS," as described and claimed in the specification for which an application for United States letters patent was filed and assigned Application No. 10/559,596. This application is a national stage application filed under 35 U.S.C. 371 of International Application No. PCT/US2004/018529, accorded an International Filing Date of June 9, 2004;

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), having a usual place of business at 553 Old Corvallis Road, Hamilton, Montana 59840-3131, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and, in any and all divisions, reissues and continuations thereof, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said invention, for

**ORIGINAL**

litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

5-7-07  
Date \_\_\_\_\_  
Gregory A. Spies  
\_\_\_\_\_

I certify that I know or have satisfactory evidence that Gregory A. Spies signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date \_\_\_\_\_ Signed at \_\_\_\_\_  
(Type or Print)  
\_\_\_\_\_  
(Type or Print Name of Witness) (Signature of Witness)

\_\_\_\_\_  
Date Lynda Misher

I certify that I know or have satisfactory evidence that Lynda Misher signed this instrument of her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date \_\_\_\_\_ Signed at \_\_\_\_\_  
(Type or Print)  
\_\_\_\_\_  
(Type or Print Name of Witness) (Signature of Witness)

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litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

\_\_\_\_\_  
Date Gregory A. Spies

I certify that I know or have satisfactory evidence that Gregory A. Spies signed this instrument of his own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

\_\_\_\_\_  
Date (Type or Print) Signed at \_\_\_\_\_

\_\_\_\_\_  
(Type or Print Name of Witness) (Signature of Witness)

8-4-07  
Date Lynda Misher

I certify that I know or have satisfactory evidence that Lynda Misher signed this instrument of her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

8/4/07  
Date (Type or Print) Signed at Seattle, WA

Cherrie M Brown  
(Type or Print Name of Witness) (Signature of Witness)  
Notary Notary

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